ORIGINAL

### STATE OF ILLINOIS ILLINOIS COMMERCE COMMISSION STIPULATED AGREEMENT NO. 1137

TO5-0039

This agreement made and entered into, by and between the State of Illinois acting by and through the Illinois Commerce Commission ("Commission"), the Norfolk Southern Railroad Company ("Company"), Clear Lake Township" (Township), and the State of Illinois, Department of Transportation ("Department").

#### WITNESSETH:

WHEREAS, it has come to the attention of the Commission through informal correspondence that inquiry should be made into the matter of improving public safety at the Petal Road/TR 436 highway-rail grade crossing of the Company's track near Riverton, in Sangamon County, Illinois, designated as crossing AAR/DOT #479 297J, railroad milepost 405.42-WC; and

WHEREAS, proper investigation has been made of the circumstances surrounding the aforesaid crossing by a representative of the Commission's Transportation Division Railroad Section; and

WHEREAS, the physical aspects, including geometrics of the intersection, train movements, vehicular traffic volume, and sight distances and other pertinent data relating to the crossing have been obtained and shown on Exhibit A, attached to the Agreement; and

WHEREAS, the parties are mutually agreeable to accomplish the proposed improvements upon a determination of the Commission by Order.

NOW, THEREFORE in consideration of the premises and of the mutual covenants and agreements as hereinafter contained the parties pray that the Commission enter an Order according to the provisions of Section 18c-7401 of the Illinois Commercial Transportation Law requiring that certain improvements as hereinafter stated be made and that the cost for the proposed improvements be divided among the parties according to law and that in the interest of the traveling public the Grade Crossing Protection Fund of the Motor Fuel Tax Law be required to bear a substantial portion of the cost; To Wit the parties agree as follows:

<u>Section 1</u> All improvements encompassed by this Agreement shall be made in accordance with all applicable State laws, rules, standards, regulations and orders and procedures in general.

<u>Section 2</u> The parties are of the opinion that the proper improvements in the interest of public safety at the aforesaid crossing should be:



- (a) Installation of automatic flashing light signals and gates with a bell, controlled by constant warning time circuitry, and equipped with an event recorder.
- (b) Installation of temporary STOP signs at the Petal Road/TR 436 crossing within 30 days of receipt of a Commission Order for the installation of new automatic warning devices.
- (c) Reconstruction of the existing north highway approach, to comply with the minimum requirements of 92 Illinois Administrative Code Part 1535.204, and as depicted on the proposed plan (Exhibit C).

<u>Section 3</u> The Company has prepared a "round figure" estimate of cost (Exhibit B) to accomplish the proposed safety improvements, which it may be required to perform.

The Company shall upon Order, according to the requirements contained therein, prepare and submit detailed drawings, detailed circuit plans, estimates of cost and any required specifications for the proposed improvements for the approval of the Commission. The Company agrees that an appropriate time for the submission of plans should be 90 days from the date of a Commission Order subsequent to this Agreement.

The Township has prepared plans and a preliminary estimate of cost to accomplish the proposed approach improvements, which it may be required to perform. Execution of the Agreement by the Department will be considered as its acceptance and approval of all plans and estimates attached hereto as Exhibit C.

Section 4 The Company and the Township shall upon Order, according to the requirements contained therein, proceed toward the completion of the proposed improvements, accomplishing the work with its own forces or appropriate contracted services and agrees that an appropriate time for the completion of the proposed improvements should be twelve (12) months from the date of a Commission Order subsequent to this Agreement.

<u>Section 5</u> The parties agree that an equitable division of cost for the proposed improvements should be as follows:

- COST DIVISION TABLE -

	EST.				
IMPROVEMENT	COST	GCPF	TOWNSHIP	COMPANY	IDOT
Install Automatic					
Flashing Light Signals					
and Gates, controlled	)	(95%)			
by CWT Circuitry	\$169,000	\$160,550 <sup>1</sup>	\$0	Remainder <sup>2</sup>	\$0
Modify Existing North		(50%)			
Highway Approach	\$28,040	\$14,020	_Remainder⁴	\$0	\$0
	No				
Install temporary STOP	estimate				
Signs, if ordered	required	\$0	\$0	100% <sup>3</sup>	\$0
TOTALS	\$197,040	\$174,570	\$0		\$0

Notes:

<sup>1</sup> Total Grade Crossing Protection Fund (GCPF) assistance not to exceed \$160,550 (95%); any installation costs above the estimated amount of \$169,000 will be divided between the GCPF and the Company in the same percentages noted above, upon submittal and review of evidence to support the additional cost and approval by the Commission.

Company responsible for all future operating and maintenance costs associated with the new

automatic warning devices.

<sup>3</sup> Company responsible for all future maintenance costs associated with the temporary STOP signs.

Total Grade Crossing Protection Fund (GCPF) assistance not to exceed \$14,020 (50%), with the Township paying all remaining construction costs as well as all future maintenance of the highway approaches.

<u>Section 6</u> The Township is financially able and willing to bear an equitable portion of the cost for the proposed improvements as may be assigned by the Order and indicates this intent by Resolution attached as Exhibit D.

<u>Section 7</u> Special Provisions: Since the approach improvements at the subject crossing will be performed by the Township, flagging and contractor liability insurance will be required for said work.

The Township, according to the approach reconstruction plan attached as Exhibit C, proposes a twenty (20) foot wide driving surface with two foot (2') wide shoulders on each side of the road (total roadbed width of 24 feet). The Company should contact the Sangamon County Engineer, Timothy Zahrn, at (217) 535-3070, for coordination of possible field adjustments regarding the signal installation, if necessary.

The Company and the Township shall complete and submit the Project Manager Information portion of the Project Status Report sheet, attached as Exhibit E-1 and E-2, along with this executed Agreement.

The Company and the Township shall, at six (6) month intervals from the date of Commission Order subsequent to this Agreement, submit to the Director of Processing and Information, Transportation Bureau of the Commission, a Project Status Report, attached as Exhibit E-1 and E-2, regarding the progress each has made toward completion of the work herein required. Each Project Status Report shall include the Commission Order number, the Order date, the project completion date as noted in the Order, crossing information (inventory number and railroad milepost), type of improvement, and the name, title, mailing address, phone number, facsimile number, and electronic mailing address of the Company and the Township Project Manager.

All bills for expenditures for the approach work specified in Section 2 authorized for reimbursement from the Grade Crossing Protection Fund shall be submitted to Christine Reed, Region Engineer, District 6, Attn: Terry Fountain, District Six Engineer of Local Roads and Streets, 126 E. Ash, Springfield, Illinois 62704. All other bills for expenditures authorized for reimbursement from the Grade Crossing Protection Fund shall be submitted to the Fiscal Control Unit, Bureau of Local Roads and Streets, Illinois Department of Transportation, 2300 S. Dirksen Parkway, Springfield, Illinois 62764. The Department shall send a copy of all bills to the Director of Processing and Information, Transportation Division of the Commission. The final bill for expenditures from each party shall be clearly

marked "Final Bill". All bills shall be submitted no later than twenty-four (24) months from the date of Commission Order subsequent to this Agreement. The Department shall, at the end of the 24<sup>th</sup> month from the Commission Order date, de-obligate all residual funds accountable for installation cost for this project.

<u>Section 8</u> This Agreement shall be binding upon the parties hereto, their successors or assigns. Upon execution of this Agreement by all parties, the Commission shall enter an appropriate order, within 60 days accepting or rejecting such stipulation according to the provisions contained herein.

In Witness Whereof, the parties have caused this Agreement to be executed by their duly authorized officers, as of the dates indicated herein.

Executed by the Commission this 13<sup>th</sup> day of June, 2005.

Michael E. Stead

Rail Safety Program Administrator

Attest:

Richard A. Daniels

Railroad Safety Specialist

Illinois Commerce Commission Stipulated Agreement No. 1137 concerning improvements at the Petal Road/TR 436 highway-rail grade crossing of the Norfolk Southern Railway Company tracks near Riverton, Illinois, designated as crossing AAR/DOT #479 297J, railroad milepost 405.42-WC.

Executed by the Department this 24h day of 2005

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION

Victor A. Modeer, Director of Highways

Charles Ingersoll

Engineer of Local Roads and Streets

Illinois Commerce Commission Stipulated Agreement No. 1137 concerning improvements at the Petal Road/TR 436 highway-rail grade crossing of the Norfolk Southern Railway Company tracks near Riverton, Illinois, designated as crossing AAR/DOT #479 297J, railroad milepost 405.42-WC.

Executed by the Township this 5th day of July 2005

CLEAR-LAKE TOWNSHIP

SUPERVISOR

Attest:

CLEAR LAKE TOWNSHIP CLERK

Illinois	Commerce	Commission	Stipulated	Agreement	No.	1137	concerning
improve	ements at the	Petal Road/T	'R 436 high	way-rail grade	cros	sing of	the Norfolk
Southe	rn Railway C	company track	s near Rive	rton, Illinois,	desig	nated a	as crossing
AAR/D	OT #479 297	J, railroad mile	epost 405.42	2 <b>W.</b>	-		•
			Э́Н-				

Executed by the Company this 13th day of July 2005

NORFOLK SOUTHERN RAILWAY COMPANY

By: <u>/ (K. Umatick</u> General Manager

Attest:

Asst. Corporate Secretary

#### ILLINOIS COMMERCE COMMISSION STIPULATED AGREEMENT CROSSING DATA FORM

GENERAL INFORMATION: See Location Sketch

RAILROAD	Norfolk Southern Railway Company
USDOT#, MILEPOST	479 297J, 405.42-WA
STREET, CITY, COUNTY	Petal Road/TR 436, near Riverton, Sangamon County
JURISDICTION (RDWY)	Local
LOCATION	Rural
STREET SURFACE	Chip seal; 20'; good condition

CROSSING DATA: See Location Sketch for roadway profile and track centers

TRACK (W-E or N-S)	SURFACE TYPE	SURFACE WIDTH	SURFACE CONDITION
W-E	Timber and asphalt	24 feet	good

**ROADWAY DATA:** See Location Sketch

INTERSECTING ROADS:	Old Route 36 is 59 feet to the south
TRAFFIC CONTROL	Stop sign at Old Route 36
ADT & SPEED	350 Vehicles Per Day @ 55 MPH unposted
TRAFFIC TYPE	Passenger, possible School Busses and Hazardous Materials
ADVANCE WARNING	Yes
PAVEMENT MARKING	No

RAILROAD DATA: See Location Sketch

FREIGHT TRAFFIC	20 Per day @ 60 MPH, 2 Switch Movements, Day & Night
PASSENGER TRAFFIC	None
WARNING DEVICES	crossbucks

**NOTES:** 

#### VISIBILITY STUDY: See Location Sketch

Train Speed	60	MPH
Roadway Speed	55 unposted	MPH
Required Stopping Sight Distance (SSD)		
Along Roadway	520	FEET
Along Tracks	642	FEET
Required Clearing Sight Distance (CSD)	1441	FEET
(Along Tracks)		

Distances calculated per American Association of State Highway and Transportation Officials (AASHTO), A Policy on Geometric Design of Highways and Streets, 2001, Fourth Edition.

QUADRANT	CSD (FT)	OBSTRUCTION	SSD (FT)	OBSTRUCTION
NE	OK*		*	OK now but with corn 50'
NW	OK *		*	OK now but with corn 100'
SE	OK *		*	OK
SW	OK *		*	OK

<sup>\*</sup>Note: The installation of automatic flashing light signals and gates eliminates the need to satisfy minimum Sight Distance criteria.

#### **APPROACH GRADES:** See Location Sketch

DIRECTION: NORTH			DIRECTION: SOUTH			
DISTANCE (FT)	ELEVATION (FT)	GRADE (%)	DISTANCE (FT)	ELEVATION (FT)	GRADE (%)	
25	98.8	4.8	25	98.8	4.8	
50	96.9	7.6	50	97.9	3.6	

Distance measured from outermost rail.

Staff of the Commission's Rail Safety Section recommends that the GCPF pay 95% of the installation costs for new automatic warning devices (round figure estimate of \$169,000) and 50% of the north approach grade reconstruction (estimated at \$28,040). Staff recommends the railroad pay all remaining installation costs for the new signals, as well as all future operating and maintenance costs. Clearlake Township agrees to pay for the remaining north highway approach grade reconstruction costs. The Township has provided sufficient documentation to prove financial hardship, so Staff recommends the GCPF be used to pay the road authority's normal 10% share of signal costs as well as 50% of the approach costs. Due to the close proximity of Old Route 36 south of the tracks (59' from centerline of track to centerline of roadway), Staff recommends the Township not be required to improve the south highway approach grade.

#### **COMMENTS:**

See Page 3 for a Location Sketch (Aerial Photo) of the crossing.

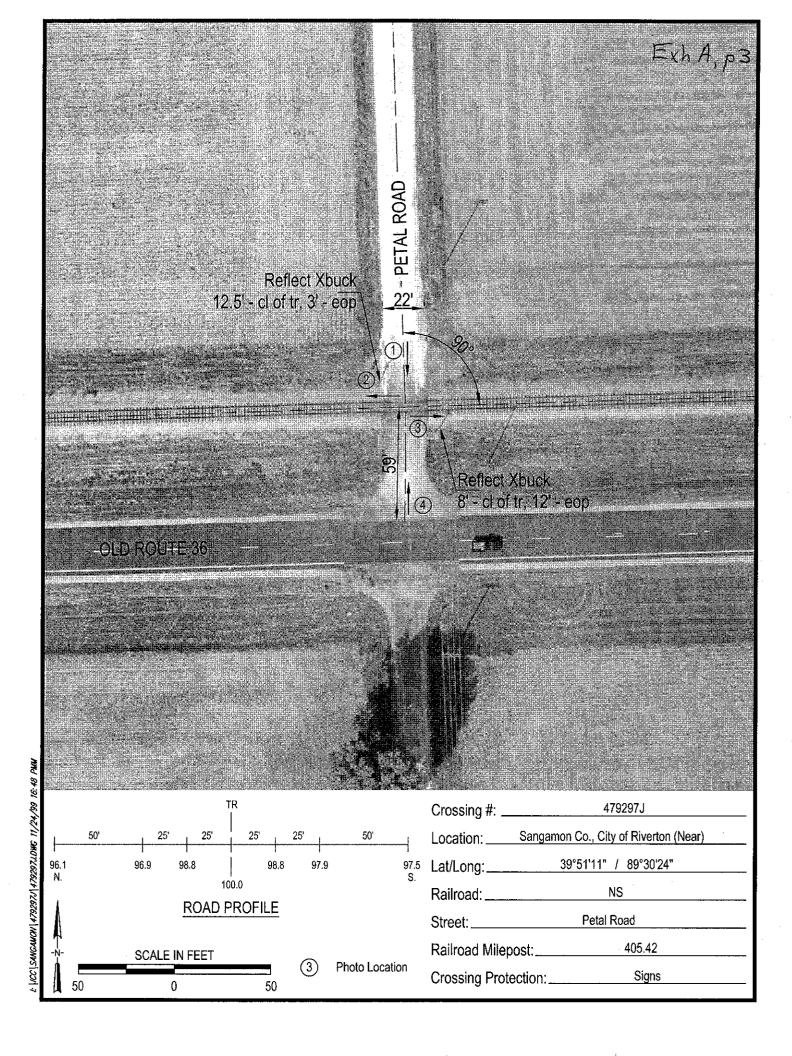


Exhibit 13

10-Mar-05

#### Round Figure Estimate for Grade Crossing Warning Devices

City/Stat RIVERTON, IL

Road: PETAL RD.

MilePost: DH-405.42

DOT/AAR: 479297J

State Proj.

County: SANGAMON

S&&E Proj. 04.0628

AFE:

Man Days: 104

File 061-04.0551

#### \*\*\*Purchases - Other\*\*\*

Meals and

\$9,420.00

Rental of

\$20,600.00

(2 Trucks, 1 Backhoe w/ Trailer and 1 Pipe-Pusher for 26 Days)

Construction Supervision

\$1,650.00

Contingencies

\$570.00

Purchases - Other

\$32,240.00

#### \*\*\* Material and Additives\*\*\*

Material

\$69,500.00

Sales and Use

\$3,480.00

Material Handling &

\$3,480.00

Material

\$76,460.00

#### \*\*\*Labor and Additives\*\*\*

Labor

\$24,230.00

(4 man crew at \$932.00 a day for: 26 days)

Payroll Tax &&

\$20,980.00

Preliminary

\$8,250.00

Construction

\$6,840.00

Labor

\$60,300.00

Subtotal

\$169,000.00

. ...

• • •

Credit: (Salvage/Scrap \$0.00

Project

\$169,000.00

Estimated on: 10-Mar-05

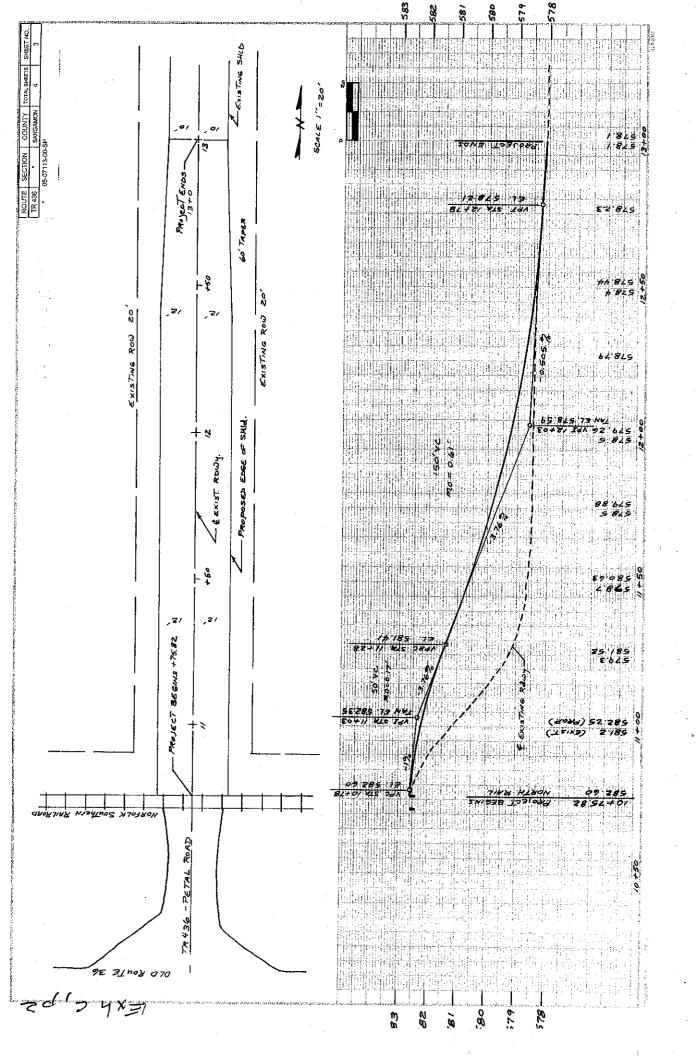
Estimated by: rhray

Estimate valid for 1 year from date of estimate



Exh	C , p / TR 436 Petal Road
Route	TR 436 Petal Road
County	Sangamon
Local Agency	Clear Lake
Section	05-07113-00-SP

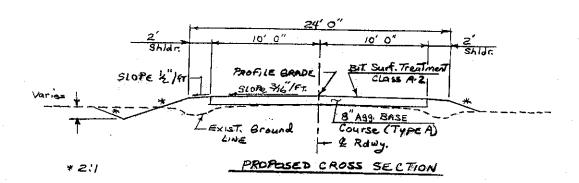
Location ar	nd brief description (Sta	a. and land description of beginning;	Sta. only for end	for county and road	d districts; street lim	its for municipality.)
North app	roach to Railroad Cros	ssing on TR 436 Petal Road i	n Clear Lake	Township.		.,,
					· · · · · · · · · · · · · · · · · · ·	······································
		Total Project Length	229.05 Fee			
		Net Length	0.043 Miles		5	
Surface Tyr	pe A-2 Bit.	Chaulder Time				or Culvert
Width	20	Shoulder Type Width	Earth 2		Length	
Widti	120	Valditi	1 2		Width	
Item		Items	Unit	Quantity	Unit Price	Total Cost
Number						
<del></del>	CARF	RIED FORWARD				
1.	Earth Excavation		Cu. Yd.	7.00	25.00	\$ 175.00
2.	Furnished Excavation		Cu. Yd.	136.00	25.00	\$3.400.00
·····			04.14.	100.00	20.00	\$3,400.00
3.	Seeding Class 2 (S	pecial)	Acre	0.30	5,000.00	\$1,500.00
4.	Aggregate Base Course, Type A		Ton	445.00	25.00	\$11,125.00
5.	Bituminous Material	ls (Cover & Seal Coat)	Gallon	384.00	3.00	£4.450.00
<del></del>	Branning Material	3 (Oover a Cear Coar)	Callon	304.00	3.00	\$1,152,00
6.	Cover Coat Aggrega	ate	Ton	7.00	30.00	\$ 210.00
7	Seal Coat Aggregat	<u>e</u>	Ton	7.00	30.00	\$ 210.00
8.	Bituminous Material	ls (Prime Coat)	Gallon	256.00	3.00	<b>#</b> = 0.0 c.s
	Bital All Todo Material	is (Filline Goat)	Calion	230.00	3.00	\$ 768.00
9.	Railroad Protective	Liability Insurance	L. Sum	1.00	3,000.00	\$3,000.00
10.	Railroad Flagman		L. Sum	1.00	4,000.00	\$4,000.00
11.	Traffic Control and F	Protection Standard - BLR21	L. Sum	1.00	2,500.00	***
	Traine Control and 1	Toteotion Standard - DEI\Z1	L. Guili	1.00	2,300.00	\$2,500.00
<del></del>						
· · · · · · · · · · · · · · · · · · ·						
					<del></del>	
	☐ Pa	age Total	Total Est	imated Cost		\$28,040.00
Made by				•		
viade by	7/7/191		Date _ <u>0</u>	6/02/2005		
Checked by			Date			
					·	

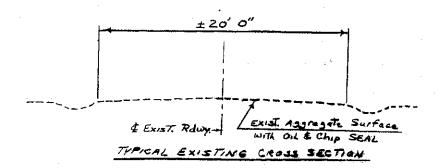


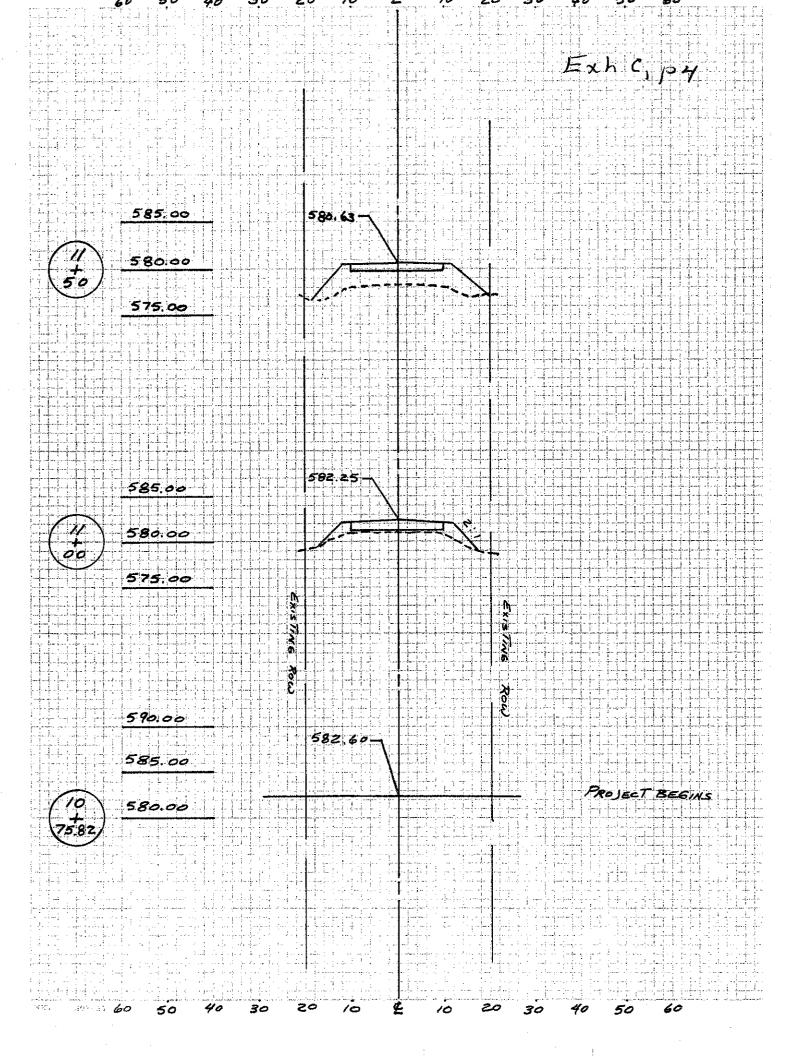
ROUTE	SECTION	COUNTY	TOTAL SHEETS	SHEET NO.
TR 436	*	SANGAMON	4	. 2

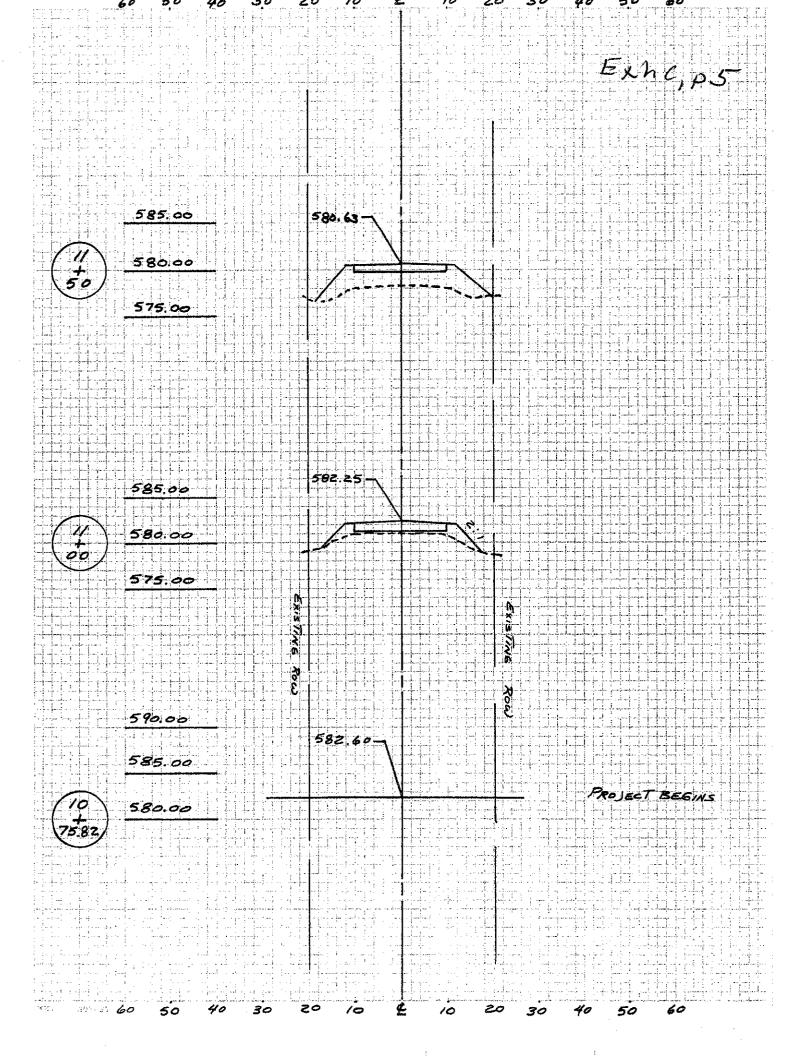
05-07113-00-SP

Exh C, p3









## ILLINOIS COMMERCE COMMISSION STIPULATED AGREEMENT NO. 1137

Date July 5, 2005
The CLEAR LAKE TOWNSHIP BOARD
(Board or Council of Clear Lake Township
meeting on July 5, 2005, Resolved to authorize (Date)
ALEX LYONS - ROAD COMMISSIONER to act as its designated agent in the (Name, Office)

processing of this Stipulated Agreement and that the Township is financially willing and able to bear the cost for the proposed improvements as may be assigned to it according to Section 5 of this Agreement.

# ILLINOIS COMMERCE COMMISSION TRANSPORTATION DIVISION / RAIL SAFETY SECTION

### PROJECT STATUS REPORT

T/DE (0) (0)					
TYPE: (CHECK ONE)					
PROGRESS		· · · · · · · · · · · · · · · · · · ·			
COMPLETION <sup>1</sup>		DATE			
PROJECT INFORM	ATION:				
Reporting Party:		Norfolk Southern Railway Company			
Docket/Order #:					
Ordered Completion Date:					
Status Reports Due:					
Completion Report Due <sup>1</sup> :					
AAR/DOT#, Milepos		479 297J; MP 405.42-WC			
Street, (in/near) City	<del></del>	Petal Road/TR 436, near Riverton, Sangamon County, IL			
Railroad Company:		Norfolk Southern			
<sup>1</sup> Railroad Companies m	iust include an	updated USDOT Inventory Form with their Completion Report.			
	NIFONN	1 A TEGA 12			
PROJECT MANAGE Name:					
Title:	Bob Sharkey  Construction Engineer				
Representing:		Norfolk Southern			
Street Address:					
	99 Spring Street, Box 123				
City, State, Zip: Office Phone:	Atlanta, Georgia 30303				
Office Fax:	404-527-2887 404-527-1174				
Cellular Phone:	404-321-11				
E-Mail Address:	Bohort She	nkov@nocorn com			
		arkey@nscorp.com			
Company	ation to be sur	bmitted by Clear Lake Township and the Norfolk Southern Railway			
	MPROVEM	ENTS to be ORDERED:			
		ing light signals and gates with a bell, controlled by			
		y, and equipped with an event recorder.			
		P signs at the subject crossing within 30 days of receipt			
of a Commission Order for the installation of new automatic warning devices.					
<ul> <li>Reconstruction of</li> </ul>					
•		<b>.</b>			
<b>STATUS OF WORK</b>	: (use addition	al blank sheet, if necessary)			
		·			
•					

Mail directed to the Rail Safety Section or the Director of Processing and Information, Transportation Bureau of the Commission should be addressed to:

The Illinois Commerce Commission 527 East Capitol Avenue Springfield, IL 62701-1827

If you have questions contact:

Dick Daniels, Railroad Safety Specialist

Phone:

217-785-8420

Email:

rdaniels@icc.illinois.gov

A **Form 3** can be obtained from the Illinois Commerce Commission by calling 217/782-7660 or on the web at:

http://www.icc.illinois.gov/hg/railroadsafety.aspx

United States Department of Transportation Inventory Form #6180.71 can be obtained on the web at:

http://safetydata.fra.dot.gov/OfficeofSafety/Forms/Default.asp

Submit Inventory forms to:

Federal Railroad Administration Office of Safety	And	Chief of Data Services Illinois Department of Transportation
1120 Vermont Avenue, NW		2300 S. Dirksen Parkway
Washington, DC 20590		Springfield, IL 62764

# ILLINOIS COMMERCE COMMISSION TRANSPORTATION DIVISION / RAIL SAFETY SECTION

### **PROJECT STATUS REPORT**

TVDE COLECT ONE					
TYPE: (CHECK ONE) PROGRESS	<del></del> 1				
	<del></del>	DATE			
COMPLETION <sup>1</sup>		DATE			
PROJECT INFORM	ATION:				
Reporting Party:		Clear Lake Township			
Docket/Order #:					
Ordered Completion Date:					
Status Reports Due:					
Completion Report Due <sup>1</sup> :					
AAR/DOT#, Milepo	st:	479 297J; MP 405.42-WC			
Street, (in/near) Cit	y, County:	Petal Road/TR 436, near Riverton, Sangamon County, IL			
Railroad Company:		Norfolk Southern			
<sup>1</sup> Railroad Companies m	ust include ar	updated USDOT Inventory Form with their Completion Report.			
PROJECT MANAGI					
Name:	Timothy Za				
Title:		County Engineer			
Representing:	Clear Lake	· · · · · · · · · · · · · · · · · · ·			
Street Address:	3303 Terminal Avenue				
City, State, Zip:	Springfield, Illinois 62707				
Office Phone:	217-535-3070				
Office Fax:	217-753-7999				
Cellular Phone:					
E-Mail Address:	schd@fgi.i				
<sup>2</sup> Project Manager inform	ation to be sul	omitted by Clear Lake Township and the Norfolk Southern Railway			
Company	14000\/=1				
		ENTS to be ORDERED:			
		ng light signals and gates with a bell, controlled by			
constant warning time circuitry, and equipped with an event recorder.					
• Installation of temporary STOP signs at the subject crossing within 30 days of receipt					
		e installation of new automatic warning devices.			
Reconstruction of					
STATUS OF WORK	: (use addition	al blank sheet, if necessary)			
**************************************					

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If you have questions contact:

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rdaniels@icc.illinois.gov

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http://safetydata.fra.dot.gov/OfficeofSafety/Forms/Default.asp

Submit inventory forms to:

Federal Railroad Administration		Chief of Data Services
Office of Safety	And	Illinois Department of Transportation
1120 Vermont Avenue, NW		2300 S. Dirksen Parkway
Washington, DC 20590		Springfield, IL 62764